

**ED SLOTT**  
AND COMPANY'S

**IRA Success**

POWERED BY THE AMERICAN COLLEGE OF FINANCIAL SERVICES®

## Continuing Education Course Syllabus

**Course Number:** CE161

**Course Name:** Advising Clients on Key Rollover Decisions

**Prerequisites:** None

### Course Description

This course provides an overview of how to advise clients on key rollover decisions. Topics covered in this course include how to use the SECURE Act to attract large IRA rollover clients, NUA rules and strategies for employer stock, five NUA mistakes you cannot afford to make, 72(t) rules for early IRA and plan distributions, and an examination of 72(t) IRA rulings and court cases.

### Learning Objectives

Upon successful completion of this course, you will confidently be able to:

- Apply SECURE Act knowledge to attract large IRA rollover clients,
- Advise clients on key IRA rollover decisions, and
- Identify how best to use net unrealized appreciation and 72(t) in specific planning strategies.

### About the Experts

Ed Slott and Company is the nation's leading source of accurate, timely IRA expertise and analysis to financial advisors, institutions, consumers and media across the country. Combined, Ed Slott's team of IRA experts possess over 70 years of experience in retirement education and in the facilitation of strategies to navigate the complexities of IRAs to financial advisors, CPAs, attorneys, insurance agents, and more.

Their mission is to educate financial professionals on an individual and corporate level to become knowledgeable, recognized leaders in the retirement marketplace; and to provide solutions to the most critical dilemmas and continually deliver practical, applicable, and easy-to-understand information on IRA, retirement, tax, and financial planning topics.



*\*Individual bios for each of the experts are located inside the classroom.*

## Course Outline

Review	Download	Complete
Course Presentation	NUA Timeline: "Triggering Events"  2023 Tax Planning for Investment Income 3.8% Surtax on Net Investment Income (Blue Chart)  Course Manual  Syllabus  Expert Bios	Final Course Assessment

## Evaluation Procedures

The final course assessment consists of a multiple-choice quiz that will assess a learner's knowledge of the topics explored in the course. Learners must earn a score of 70% or better to complete the course successfully and earn continuing education credit. For questions about continuing education credits, please email:

[CE@theamericancollege.edu](mailto:CE@theamericancollege.edu).

## Course Policies

This self-paced continuing education course is delivered on The American College of Financial Service's Knowledge Offerings learning management system. Learners may work at their own pace, but are expected to review all course content, complete all course activities, and abide by all policies and procedures of The American College of Financial Services, located at <https://www.theamericancollege.edu/resources/student-resources-policies>.

## Technical Support

If you encounter any technical difficulties within the platform, please access the support link and issue a support ticket to our helpdesk. For other questions, contact the Institute for Learning Innovation by email:

[Innovation@theamericancollege.edu](mailto:Innovation@theamericancollege.edu).